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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No.                      | 214099US0DIV                                      |
|   | First Inventor or Application Identifier | Naohiko UCHIUMI                                   |
|   | Title                                    | PREPARATION PROCESS OF ACRYLIC ACID ESTER POLYMER |
| Assignee Name:  |  | Kuraray Co., Ltd.                                 |
| Assignee Address:   |  | 1621, Sakazu, Kurashiki-shi, Japan                |

|  |   |
|--|---|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents</small>  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Sheets <b>66</b></p> <p>3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 U.S.C. 113) Total Sheets <b>2</b></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages <b>4</b></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br/>(for continuation / divisional w/ box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification or Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> | <b>ACCOMPANYING APPLICATION PARTS</b> <p>7. <input checked="" type="checkbox"/> This application is assigned of record to Kuraray Co., Ltd. Recordation date of June 15, 1999, reel/frame 010025/0035.</p> <p>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) <input checked="" type="checkbox"/> Copies of IDS Citations (12)</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>16. <input checked="" type="checkbox"/> Other: Form PTO-1449; European Search Report; Translation of Category of Cited Documents in Attached Foreign Language Search Report</p> |

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/274,473

Prior application information: Examiner: T. Zalukaeva Group Art Unit: 1713

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)  
of U.S. Application Serial Number 09/274,473, filed March 23, 1999, Allowed.

☐ Which was published in English

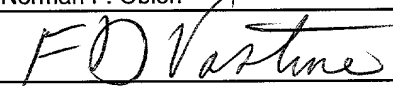
☐ Which was not published in English

☐ This application claims priority of provisional application Serial No. Filed

**19. CORRESPONDENCE ADDRESS**



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(703) 413-3000  
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|            |   |                   |         |
|------------|---|-------------------|---------|
| Name:      | Norman F. Oblon   | Registration No.: | 24,618  |
| Signature: |  | Date:             | 9/24/01 |
| Name:      | Frederick D. Vastine, Ph.D.   | Registration No.: | 27,013  |

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S): Naohiko UCHIUMI et al

SERIAL NO.: NEW APPLICATION

FILING DATE: HEREWITH

FOR: PREPARATION PROCESS OF ACRYLIC ACID ESTER POLYMER

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

| FOR  | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
|--|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS   | 39 - 20 =       | 19              | × \$18 =  | \$342.00     |
| INDEPENDENT CLAIMS   | 4 - 3 =         | 1               | × \$80 =  | \$80.00      |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)   |                 |                 | + \$270 = | \$0.00       |
| <input type="checkbox"/> LATE FILING OF DECLARATION                  |                 |                 | + \$130 = | \$0.00       |
| BASIC FEE  |                 |                 |           | \$710.00     |
| TOTAL OF ABOVE CALCULATIONS  |                 |                 |           | \$1,132.00   |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY |                 |                 |           | \$0.00       |
| <input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE              |                 |                 | + \$130 = | \$0.00       |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT                   |                 |                 | + \$40 =  | \$0.00       |
| TOTAL  |                 |                 |           | \$1,132.00   |

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of **\$1,132.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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